



BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
www.lahive.com

JOHN A. LAHIVE, JR. (1928-1997) JAMES E. COCKFIELD (1930-2005) THOMAS V. SMURZYNSKI GILLLIO A DeCONTL JR ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A LAURENTANO KEVIN J. CANNING JANE E. REMILLARD DEBRA J. MILASINCIC, Ph.D. MEGAN E. WILLIAMS, Ph.D. WILLIAM A. SCOFIELD, JR. SIBLEY P. REPPERT DAVID R. BURNS JOHN S. CURRAN SEAN D. DETWEILER HATHAWAY P. RUSSELL * MARIA LACCOTRIPE ZACHARAKIS, Ph.D. DANIELLE L. HERRITT

EUIHOON LEE **
MANEESH GULATI
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
MICHAEL J. BASTIAN, Ph.D.
CHRISTOPHER J. McKENNA
VINCENT P. LOCCISANO
JAMES M. McKENZIE
LEIGH J. MARTINSON
JILL R. GORNY
SAPNA MEHTANI. Ph.D.

OF COUNSEL
JEREMIAH LYNCH
JEANNE M. DIGIORGIÓ
CYNTHIA L. KANIK, Ph.D.
BENEDICT A. MONACHINO

PATENT AGENTS

CRISTIN E. HOWLEY, Ph.D.
JILL ANN MELLO, Ph.D.
CHRISTOPHER E. DRABIK
JAMES H. VELEMA
JACOB G. WEINTRAUB
BRIAN C. TRINQUE. Ph.D.

TECHNICAL SPECIALISTS
CATHERINE M. BISHOP

CATHERINE M. BISHOP
DEBORAH L. NAGLE, Ph.D.
ANNE JACQUELINE WIZEMAN, Ph.D.
CHRISTOPHER R. COWLES, Ph.D.
W. ELANA WANG
MEAGHAN L. RICHMOND, Ph.D.
SHARON M. WALKER, Ph.D.
LAURA C. DILORENZO
ALISSA H. ENCK

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September 14, 2005

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Re:

U.S. Patent Application No.: 10/700,816

For: ALLELE-SPECIFIC RNA INTERFERENCE

Inventors: Zuoshang Xu, et al. Filed: November 4, 2003 Our Ref. No.: UMY-038

Dear Sirs:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. PTO Form SB/08;
- 3. A copy of the International Search Report;
- 4. Copies of selected references cited in PTO Form SB/08 (28); and
- 5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a

duplicate of this sheet is attached.

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Debra J. Milasincic, Reg. No. 46, 93

Respectfully submitted,

LAHIVE\& COCKFIELD, LLP

Debra J. Milasincic Registration No. 46, 931 Attorney for Applicants



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Zuoshang Xu, et al.

Serial No.: 10/700,816

Filed: November 4, 2003

For: ALLELE-SPECIFIC RNA INTERFERENCE

Attorney Docket No.: UMY-038

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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September 14, 2005

Date of Signature and of Mail Deposit

Debra J. Milasincic, Esq.

Group Art Unit: 1632

Examiner: Not Yet Assigned

Reg. No. 46,931

Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form SB/08, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. Reference ID Nos. A1 and C4 were cited in an International Search Report dated May 27, 2004 during the prosecution of PCT/US03/35009 which corresponds to the above referenced application. A copy of the report and each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Serial Number: 10/700,816 Page -2- Group Art Unit: 1632

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Debra J. Milasincic, Esq. Registration No. 46,931 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: September 14, 2005

DJM/JHV Enclosures SEP 1 6 2005

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Complete if Known Substitute for form 1449A/B/PTO 10/700,816 Application Number INFORMATION DISCLOSURE November 4, 2003 Filing Date STATEMENT BY APPLICANT Zuoshang Xu First Named Inventor 1632 Art Unit (Use as many sheets as necessary) Not Yet Assigned Examiner Name of Sheet 1 3 Attorney Docket Number **UMY-038**

	U.S. PATENT DOCUMENTS							
Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initials*	Cite No. ¹	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	A1	2003/0180756 A1	09-25-2003	Shi, Y., et al.				
	A2	2003/0190635 A1	10-09-2003	McSwiggen, J.A.				
	A3	2004/0192629 A1	09-30-2004	Xu, Z., et al.				
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°
	В1	WO 03/070895 A2	08-28-2003	Ribozyme Pharmaceuticals, Inc.		
	B2	WO 03/080807 A2	10-02-2003	President and Fellows of Harvard College		
	ВЗ	WO 2004/013280 A2	02-12-2004	University of Iowa Research Foundation		
	B4	WO 2004/01335 A1	02-12-2004	University of Iowa Research Foundation		
	B5	WO 2004/013310 A2	02-12-2004	Intradigm Corporation		
	В6	WO 2004/042027 A2	05-21-2004	University of Massachusetts		
	В7	WO 2004/046324 A2	06-03-2004	University of Massachusetts		
	В8	WO 2004/058940 A2	07-15-2004	University of Iowa Research Foundation		
-	В9	WO 2005/003350 A2	01-13-2005	Ribozyme Pharmaceuticals, Inc.		
	B10	WO 2005/007875 A2	01-27-2005	University of Massachusetts		
	B11	WO 2005/007877 A2	01-27-2005	University of Massachusetts		
	B12	WO 2005/023991 A2	03-17-2005	The General Hospital Corporation		
	B13	WO 2005/027980 A1	03-31-2005	University of Massachusetts		

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Sub	ostitute for form 1449A/B/I	РТО		Complete if Known		
		•		Application Number	10/700,816	
	NFORMATIO	N DI	SCLOSURE	Filing Date	November 4, 2003	
l s	TATEMENT	BY A	APPLICANT	First Named Inventor	Zuoshang Xu	
				Art Unit	1632	
	(Use as many s	sheets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	3	Attorney Docket Number	UMY-038	

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ°		
	B14	WO 2005/045034 A2	07-19-2005	Ribozyme Pharmaceuticals, Inc.				

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		NON PATENT LITERATURE DOCUMENTS	
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	C1	Harper, S.Q., et al. "RNA interference improves motor and neuropathological abnormalities in a Huntington's disease mouse model." Proc Natl Acad Sci USA. 2005 Apr 19; 102(16): 5820-25	
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	C3	Miller, V.M., et al. "Targeting Alzheimer's desease genes with RNA interference: an efficient sttrategy for silencing mutant alleles." Nucleic Acids Research Oxford University Press 2004; 32(2): 661-668	
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	C6	Rival, T. et al. "Decreasing glutamate buffering capacity triggers oxidative stress and neuropil degeneration in the <i>drosophila</i> brain." <i>Current Biology</i> . 2004 Apr. 6; 14:599-605.	
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Signature		Considered	

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	Salute for form 14400 vi	<i>5.</i> 1. 7. 3		Application Number	10/700,816	
IN	IFORMATION	ON DISC	CLOSURE	Filing Date	November 4, 2003	
l s	TATEMEN ^T	T BY AF	PLICANT	First Named Inventor	Zuoshang Xu	
				Art Unit	1632	
	(Use as many	y sheets as ne	cessary)	Examiner Name	Not Yet Assigned	
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C13	Miller, V.M., et al. "Allele-specific silencing of dominant desease genes." Proc Natl Acad Sci USA. 2003 Jun 10; 100(12): 7195-7200							
C14	Ding, H. et al., "Selective silencing by RNAi of a dominant allele that causes amyotrophic lateral sclerosis" Aging Cell 2003;2:209-217							
(No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. Miller, V.M., et al. "Allele-specific silencing of dominant desease genes." Proc Natl Acad Sci USA. 2003 Jun 10; 100(12): 7195-7200 Ding, H. et al., "Selective silencing by RNAi of a dominant allele that causes amyotrophic						

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